

Applicant(s):

Serial No.:

Filed:

November 25, 1997

For:

COMPOSITION OF MATTER COMPRISING

PRIMARY NUCLEIC ACID COMPONENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CEIVED

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Examiner: Mary M. Schmidt

527 Madison Avenue, 9th Floor New York, NY 10022-4304 March 7, 2003

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Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.56 & 1.97-1.98

Dear Sirs:

Pursuant to the provisions of 37 C.F.R. §§1.97-1.98, and in full compliance with their duty of disclosure under 37 C.F.R. §1.56, Applicants, through their attorney, are bringing the following eighty-one (81) documents to the attention of the U.S. Patent and Trademark Office and the Examiner handling their aboveidentified application:

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- March 7, 2003)

EXPRESS MAIL CERTIFICATE

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I hereby certify that this paper and the attachments herein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and

Trademarks, Washington DC 20231.

Ronald C. Fedus Reg. No. 32,567

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- Wu, G.Y. and Wu, C.H., U.S. Patent No. 5,166,320 issued November 24, 1992 [Exhibit 1];
- 2. Williams, D.A. and Patel, V.P., International Patent Application No. PCT/US95/03817 filed March 27, 1995, published as PCT Patent Application Publication Number WO 95/26200 published October 5, 1995 [Exhibit 2];
- 3. Ward, D.C. et al., U.S. Patent No. 4,687,732 issued August 18, 1987 [Exhibit 3];
- 4. Schwartz, D.A. et al., "Construction of a retrotranspositon indicator, sequence using a neomycin resistance-encoding gene containing a functional intron," Gene 127:233-236 (1993) [Exhibit 4];
- 5. Dunn J.J. et al., "Targeting bacteriophage T7 RNA polymerase to the mammalian cell nucleus," Gene 68:259-266 (1988) [Exhibit 5];
- 6. Fuerst, T.R. et al., "Eukaryotic transient-expression system based on recombinatnt vaccinia virus that synthesizes bacteriophage T7 RNA polymerase," Proc. Nat Acad. Sci. U.S.A. 83: 8122-8126 (1986) [Exhibit 6];
- 7. Lieber. A. et al., "High level gene expression in mammalian cells by a nuclear T7-phage RNA polymerase," Nucleic Acids Research 17(21): 8485-8493 (1989) [Exhibit 7];
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- 13. Dahlberg, J.E. and Lund, E., "The Genes and Transcription of the Major Small Nuclear RNAs," in <u>Structure and Function of Major and Minor Small Nuclear Ribonucleoprotein Particles</u>, Birnstiel, M. Ed., Springer Verlag, Berlin, Heidelberg, NY, London, Paris, Tokyo, pp. 38-70 (1988)) [Exhibit 13];
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- 23. Sczakiel, G. and Pawlita, M., "Inhibition of Human Immunodeficiency Virus Type 1 Replication in Human T Cells Stably Expressing Antisense RNA,"

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- 36. Curiel, D.T. et al."Adenovirus enhancement of transferring-polylysine-mediated gene delivery," Proc. Natl. Acad. Sci. USA 88:8850-8854 (1991) [Exhibit 36]
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- 38. Argos, P. et al., "The integrase family of site-specific recombinases: regional similarities and global diversity," The EMBO Journal 5(2):433-440 (1986) [Exhibit 38]
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- 41. Rigby, P.W. et al., "Labeling Deoxyribonucleic Acid to High Specific Activity in Vitro by Nick Translation with DNA Polymerase," <u>Journal of Molecular Biology 113</u>:237-251 (I977) [Exhibit 41]
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- 56. Stavrianopoulos, J., U.S. Patent No. 4,843,122 issued June 27,1989 [Exhibit 56]
- 57. Engelhardt, D. et al., European Patent No. EP 0 285 057 B1 granted March 1, 1995 [Exhibit 57]
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- 60. Chu, F.K. et al., "Intervening sequence in the thymidylate synthase gene of bacteriophage T4," Proc. Natl. Acad. Sci. USA 81:3049-3053 (1984) [Exhibit 609]

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- 62. Muzyczka, N., "Use of Adeno-Associated Virus as a General Transduction Vector for Mammallian Cells," <u>Current Topics in Microbiology and Immunology 158:97-129 (1992)</u> [Exhibit 62]
- 63. Rafestin, M.E. et al., "Purification of N-Acetyl D-Glucosamine-Binding Proteins By Affinity Chromatography," <u>FEBS Letters 40(1)</u>:62-66 (1974) [Exhibit 63]
- 64. Lear, J.D. and DeGrado, W.F., "Membrane Binding and Conformational Properties of Peptides Representing the NH₂ Terminus of Influenza HA-2," The Journal of Biological Chemistry 262(14):6500-6505 (1987)] [Exhibit 64]
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- 66. Stavrianopoulos J.G. et al., "Mechanism of DNA Replication by Highly Purified DNA Polymerase of Chicken Embryo," Proc. Nat. Acad. Sci. USA 69(9):2609-2613 (1972) [Exhibit 66]
- 67. Nitta, T. et al., "Bispecific F(ab')x monomer prepared with anti-CD3 and anti-tumor monoclonal antibodies is most potent in induction of cytolysis of human T cells," Eur J. Immunol. 19:1437-1441 (I989) [Exhibit 67]
- 68. Horton, R.M. et al., "Gene Splicing by Overlap Extension Tailor-Made Genes Using the Polymerase Chain Reaction," <u>BioTechniques 8(5)</u>:528-535 (1990) [Exhibit 68]
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- 71. Saiki, R.K., et al., "Enzymatic Amplification of Beta-Globin Genomic Sequences and Restriction Site Analysis for Diagnosis of Sickle Cell Anemia," Science 230:1350-1354 (1985) [Exhibit 71]
- 72. Kozak, M.. "Point Mutatiaons Define a Sequence Flanking the AUG Initiator Codon That Modulates Translation by Eukaryotic Ribosomes," Cell 44:283-292 (1984) [Exhibit 72]
- 73. Joshi, S. et al., "Inhibition of Human Immunodeficiency Virus Type 1 Multiplication by Antisense and Sense RNA Expression," <u>Journal of Virology</u> 65(10):5524-5530 (I991)] [Exhibit 73]
- 74. Sczakiel, G. et al., "Specific inhibition of immunodeficiency virus type 1 replication by RNA transcribed in sense and antisense orientation from the 5'-leader/gag region," <u>Biochemical and Biophysical Research Communication</u> 169(2):643-651 (1990) [Exhibit 74]
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- 76. Sandig V. et al., "A phage t& class-III promoter functions as a polymerase II promoter in mammalian cells," Gene 131:255-259 (1993) [Exhibit 76]
- 77. Harrison G.S. et al., "Inhibition of Human Immunodeficiency Virus-1 Production Resulting from Transduction with a Retrovirus Containing an HIV-Regulated Diptheria Toxin A Chain Gene," <u>Human Gene Therapy 3</u>:461-469 (1992)] [Exhibit 77]
- 78. Manser, T. and Gesteland, R.F., "Human U1 Loci" Genes for Human U1 RNA Have Dramtically Similar Genomic Environments," Cell 29:257-264 (1982)] [Exhibit 78]
- 79. McBurney M.W. et al., "The mouse Pgk-1 gene promoter contains an upstream activator sequence," Nucleic Acids Research 19(20):5755-5761 (1991) [Exhibit 79]
- 80. Laurence, J. et al., "Induction of Chronic Human Immunodeficiency Virus Infection Is Blocked In Vitro by a Methylphosphonate Oligodeoxynucleoside

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81. Soeiro, R. and Darnell, J.E., "Competition Hybridization by "Pre-saturation" of HeLa Cell DNA," J. Mol Biol 44:551-562 (1969) [Exhibit 81]

The above eighty-one documents [Exhibits 1-81] were cited in the instant specification.

A completed Form PTO-1449 listing the eighty-one above-submitted documents is also attached hereto as Exhibit A.

By this voluntary citation of art, Applicants and their attorney are requesting that the documents be made of record in the present application.

The above citation of documents is not a representation that these documents constitute a complete or exhaustive listing, nor that the above listing necessarily includes the closest or most relevant documents, nor are these documents necessarily a complete listing of all documents known to Applicants or their attorney. It is simply a voluntary citation of documents made in good faith, which is not intended to serve in any way as a substitute for the Examiner's own search.

In view of the general and specific features described and claimed in the present application, Applicants respectfully submit that the present invention is neither disclosed nor suggested by the documents referred to above and is thus patentably distinct thereover. Furthermore, Applicants do not believe, and do not submit, by the citation of these references, that these documents, either by themselves or in combination with other documents, render the invention *prima facie* obvious under the duty of disclosure rules.

Applicants respectfully request that the Examiner make the above-submitted documents of record in the instant application. Applicants further request that the

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Examiner consider these documents as any of them may relate to the instant application.

The fee under 37 C.F.R. §1.17(p) for filing this Information Disclosure

Statement is \$180.00. The Patent and Trademark Office is hereby

authorized to charge the amount of this fee (and any other fees in connection with this IDS) to Deposit Account No. 05-1135, or to credit any overpayment thereto.

Respectfully submitted,

Ronald C. Fedus

Registration No. 32,567 Attorney for Applicants

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^{*} No document or publication is being submitted for this Exhibit due to technical difficulties.